#### UNITED STATES DISTRICT COURT FOR THE DISTRICT OF MASSACHUSETTS

IN RE PHARMACEUTICAL INDUSTRY AVERAGE WHOLESALE PRICE LITIGATION THIS DOCUMENT RELATES TO: The City of New York v. Abbott Laboratories, Inc., et al. S.D.N.Y. Case No. 04-CV-06054 County of Albany v. Abbott Laboratories, Inc., et al. N.D.N.Y. Case No. 05-CV-0425 County of Allegany v. Abbott Laboratories. Inc., et al. W.D.N.Y. Case No. 05-CV-0236 County of Broome v. Abbott Laboratories, Inc., et al. N.D.N.Y. Case No. 05-CV-0456 County of Cattaraugus v. Abbott Laboratories, Inc., et al. W.D.N.Y. Case No. 05-CV-0256 County of Cayuga v. Abbott Laboratories, Inc., et al. N.D.N.Y. Case No. 05-CV-0423 County of Chautauqua v. Abbott Laboratories, Inc., et al. W.D.N.Y. Case No. 05-CV-0214 County of Chemung v. Abbott Laboratories, Inc., et al. W.D.N.Y. Case No. 05-CV-6744 County of Chenango v. Abbott Laboratories, Inc., et al. N.D.N.Y. Case No. 05-CV-0354 County of Columbia v. Abbott Laboratories, Inc., et al. N.D,N.Y. Case No. 05-CV-0867 County of Cortland v. Abbott Laboratories, Inc., et al. N.D.N.Y. Case No. 05-CV-0881 County of Dutchess v. Abbott Laboratories,

Inc., et al.

MDL NO. 1456

Civil Action No. 01-12257-PBS

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S.D.N.Y. Case No. 05-CV-6458	)
County of Essex v. Abbott Laboratories,	)
Inc., et al.	)
N.D.N.Y. Case No. 05-CV-0878	ì
County of Fulton v. Abbott Laboratories,	í
Inc., et al.	í
N.D.N.Y. Case No. 05-CV-0519	, \
County of Genesee v. Abbott Laboratories,	′
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Inc., et al.	)
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County of Monroe v. Abbott Laboratories, Inc., et al.	, \
W.D.N.Y. Case No. 05-CV-6148	'n
County of Nassau v. Abbott Laboratories,	'
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Inc.; et al.	)
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County of Orleans v. Abbott Laboratories,	)
Inc.; et al.	)
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County of Putnam v. Abbott Laboratories,	)
Inc., et al.	)
S.D.N.Y. Case No. 05-CV-04740	)
County of Rensselaer v. Abbott	)
Laboratories, Inc., et al.	)
N.D.N.Y. Case No. 05-CV-00422	)
County of Rockland v. Abbott	)
Laboratories, Inc., et al.	)
S.D.N.Y. Case No. 03-CV-7055	)
County of Schuyler v. Abbott Laboratories,	)
Inc., et al.	)
W.D.N.Y. Case No. 05-CV-6387	, }
County of Seneca v. Abbott Laboratories,	`
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W.D.N.Y. Case No. 05-CV-6370	`
County of St. Lawrence v. Abbott	`
Laboratories, Inc., et al.	`
N.D.N.Y. Case No. 05-CV-0479	`
County of Saratoga v. Abbott Laboratories,	`
Inc., et al.	`
N.D.N.Y. Case No. 05-CV-0478	`
County of Steuben v. Abbott Laboratories,	•
Inc., et al.	
W.D.N.Y. Case No. 05-CV-6223	
County of Suffolk v. Abbott Laboratories,	
Inc., et al.	
E.D.N.Y. Case No. 03-CV-12257	
County of Tompkins v. Abbott	ì
Laboratories, Inc., et al.	, 1
N.D.N.Y. Case No. 05-CV-0397	
County of Ulster v. Abbott Laboratories,	)
Inc., et al.	
N.D.N.Y. Case No. 06-CV-0123	
County of Warren v. Abbott Laboratories,	
Inc., et al.	
N.D.N.Y. Case No. 05-CV-0468	, 1
County of Washington v. Abbott	, 1
Laboratories, Inc., et al.	, L
N.D.N.Y. Case No. 05-CV-0408	, L
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Inc., et al.	
W.D.N.Y. Case No. 05-CV-06138	
County of Westchester v. Abbott	r L
County of Trestettester V. Audult	,

Laboratories, Inc., et al.
S.D.N.Y. Case No. 03-CV-6178
County of Wyoming v. Abbott
Laboratories, Inc., et al.
W.D.N.Y. Case No. 05-CV-6379
County of Yates v. Abbott Laboratories,
Inc., et al.
W.D.N.Y. Case No. 05-CV-06172

#### Affidavit of Sumanth Addanki

February 21, 2008

#### I. Introduction

#### A. Qualifications and Assignment

- 1. I am an economist and a Senior Vice President at NERA Economic Consulting (NERA). I hold A.M and Ph.D. degrees in economics from Harvard University and have specialized in the study of industrial organization. I have published articles on industrial organization economics and have written articles on antitrust issues for the American Bar Association (ABA) and other like institutions. These institutions have also invited me to lecture and comment on the market impact of various marketing, pricing and intellectual property strategies employed by firms in general as well as specifically in the pharmaceutical industry. I have testified by invitation before the Federal Trade Commission (FTC) on the analysis of competition in high technology industries.
- 2. I have consulted on many antitrust, intellectual property and commercial damages cases involving different industries, including agriculture, airlines, computer hardware and software, electronic components, health care, newspaper, office products, oil and gas, tobacco, and tools and hardware among many others. In addition, I have consulted extensively in the pharmaceutical industry, analyzing the market impact of various pricing, marketing and intellectual property strategies; assessing the impact of mergers and acquisitions; studying the effect of suppressed or delayed generic competition; and assessing economic damages, among other assignments. I have previously worked on matters involving allegations of AWP manipulation.

- 3. Some of my consulting assignments have led to my being qualified as an expert economist in Federal courts and testifying in those courts as an expert in the economics of industrial organization. I have also testified on the appropriate analysis of pharmaceutical markets in proceedings before the FTC.
- 4. My curriculum vitae, which is appended to this report as Exhibit 1, includes a list of all my publications within the preceding ten years and my testimony as an expert at trial or in deposition within the preceding four years.
- 5. NERA is being compensated at my customary hourly rate of \$650 for my services in this matter.

#### B. Scope of the Engagement

- 6. Ropes & Gray, counsel for Schering Corporation ("Schering"), asked me to perform the following calculations and analyses. For the branded Schering prescription drugs at issue, for the years at issue in this matter in which the drug was not effectively obsolete:
  - Calculate the difference, or "spread", between the AMP (Average Manufacturer Price) and the AWP (Average Wholesale Price) as a percentage of the AMP for each of the accused Schering products;
  - Estimate the extent to which the products were sold by Schering at prices that were, on average, at or near their Wholesale Acquisition Cost (WAC).

<sup>&</sup>lt;sup>1</sup> For my purposes, I assume that products are effectively obsolete when they are declared to be obsolete or discontinued, or are being converted to over-the-counter products, or such events are imminent.

I was asked to assume that the relevant period was from January 1, 1997 to December 31, 2005. I discuss each of these assignments in the sections below and present my results in the accompanying exhibits.

#### C. Information Relied Upon

- 7. This affidavit is based on my professional training and experience, including my experience working in other cases involving allegations of AWP manipulation. I also rely on my own prior research and my review and analysis of materials related to this and related lawsuits. My staff at NERA and I have reviewed various materials, including sales data for the products at issue, data from pricing compendia, public documents and court filings. A list of the materials relied upon in preparing this report is attached as Exhibit 2.
- 8. I reserve the right to supplement or revise my conclusions if additional information is provided to me or if additional research, reflection or the correction of inadvertent errors leads me to change my current opinions.

#### II. AMP-Based "Spreads"

9. I have been asked to calculate the average difference, or "spread", between AWP and AMP for the accused products as a percentage of AMP by NDC.<sup>2</sup> The results of these calculations are shown in Exhibit 3. As is evident from Exhibit 3, most of these "spreads" are below 30 percent of AMP, and those that are not show no particular pattern and are, moreover, generally only modestly higher.

<sup>&</sup>lt;sup>2</sup> Although the NDCs at issue have been identified at the 11-digit level, AMPs are reported to the Centers for Medicare & Medicaid Services at the 9-digit level. I was asked to calculate "spreads" at the 9-digit level.

#### III. Wholesale Acquisition Cost (WAC)

- 10. The WAC is effectively a "list price" in the branded pharmaceutical industry, and a substantial portion of Schering's sales of the branded pharmaceutical products at issue—over 85 percent—are actually made at or very near WAC, as shown in Exhibit
  - 4. I have also performed this analysis by brand, and the results are reported in Exhibit
  - 5. As the exhibit shows, many of the brands have over 90 percent of their sales made at or very near WAC. When these products are analyzed at the same level as the "AMP-based spreads" similar results obtain, as shown in Exhibit 6.

Sumanth Addanki

2-21-08

Date

#### **EXHIBIT 1**

#### **NERA**

**Economic Consulting** 

#### Sumanth Addanki

Senior Vice President

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White Plains, New York 10606
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Direct dial: +1 914 448 4060
sumanth.addanki@nera.com
www.nera.com

### SUMANTH ADDANKI SENIOR VICE PRESIDENT

#### **Education**

**Harvard University** 

Ph.D., Economics, 1986 A.M., Economics, 1982

Birla Institute of Technology and Science, India

M.A. (Hons.), Economics, 1980

#### **Professional Experience**

NERA	Econon	nic Con	cultina
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1986- Senior Vice President (current position)

New York University, Robert F. Wagner Graduate School of Public Service

1997 Adjunct Assistant Professor of Public and Health Administration

National Bureau of Economic Research Inc.

1981-1986 Research Associate and Computer Manager

**Harvard University** 

1981-1985 Instructor in Economics, Teaching Fellow, and Assistant Head Tutor

National Council of Applied Economic Research, India

1980 Research Associate

#### **Testimony (2004 – 2008)**

Discover Financial Services, et al. v. Visa U.S.A. Inc., et al., U.S. District Court for the Southern District of New York, Civil Action No 04-CF-7844 (BSJ) (Deposition Testimony). December 6-7, 2007.

Sumanth Addanki

State of Alabama v. Abbott Laboratories, Inc., et al., In the Circuit Court of Montgomery County, Alabama, CV-05-219 (Deposition Testimony). November 29-30, 2007.

Dynax Corporation v. Chemguard, Inc., U.S. District Court for the Southern District of New York, Index: 06-CIV-5143 (CM)(ECF CASE) (Deposition Testimony).

State of Colorado, et al. v. Warner Chilcott Holdings Company III, Ltd, et al., U.S. District Court for the District of Columbia, Civil Action No 1:05CV02182 (CKK) (Deposition Testimony).

Novartis Corporation, Novartis Pharmaceuticals Corporation, and Novartis International AG v. Teva Pharmaceuticals USA, Inc., U.S. District Court for the District of New Jersey, Civil Action Nos. 04-4473 and 06-1130 (HAA)(MF) (Deposition Testimony).

In re Pharmaceutical Industry Average Wholesale Price Litigation (MDL 1456), U.S. District Court for the District of Massachusetts, Civil Action No. 01-12257-PBS.

Briant Chun-Hoon and Carlo Guglielmino v. McKee Foods Corporation, a Tennessee Corporation; and Does 1 through 100, inclusive, U.S. District Court for the Northern District of California, Case No. C05-00620 VRW (Deposition Testimony).

XIOtech Corporation v. Compellent Technologies, Inc., Michael Markovich, Russell B. Taddiken, Scott A. Winslow, Kristofer M. Zuber, District Court for the State of Minnesota, Fourth Judicial District, Court File No.: 04-5065 (Deposition Testimony).

Medtronic Minimed, Inc., v. Smiths Medical MD, Inc., U. S. District Court for the District of Delaware, Civil Action No. 03-776-KAJ (Deposition Testimony).

#### Papers and Publications (1998 - 2008)

"Schering-Plough and the Antitrust Analysis of Patent Settlement Agreements in Pharmaceutical Markets," Antitrust Insights, National Economic Research Associates, Inc., 2005.

"Market Definitions Using Econometrics: An Apparent Paradox Explained," *Antitrust Insights*, National Economic Research Associates, Inc., 2001.

"Presenting Complex Technical and Economic Evidence: Lessons From The Trenches," Antitrust and Intellectual Property: The Crossroads, American Bar Association, 2000.

"The Relevant Market in Intellectual Property Antitrust: An Economist's Overview," Practising Law Institute, Intellectual Property Antitrust, June 1998.

February 2008

#### Exhibit 2

#### **Case Materials**

New York Counties v. Abbott Laboratories, Inc., et al., Revised First Amended Consolidated Complaint, filed October 5, 2007, with Exhibit B.

#### Data

Schering Sales Data.

Schering AMP Data ("AMPs\_Sebizon\_Normodyne.xls", "AWP\_litigation\_AMP\_Data.xls", "extract MCR amp units\_addl.xls", "MCR\_AMP\_Units.xls", "NY\_Additional\_NDCs\_AMP\_Data.xls", "NY\_Additional\_NDCs\_AMP\_Units\_and\_Pkgs.xls").

First DataBank Data.

Medispan Data.

Medicaid State Drug Utilization Data including "Definitions for State Drug Utilization Data Specifications", Centers for Medicare & Medicaid Services.

#### Miscellaneous

PRNewswire, "Schering-Plough Aims To Make CLARITIN® Premier Brand In OTC Category, Establish CLARINEX® As Premier Brand In Prescription Category," March 8, 2002.

Schering-Plough Corporation, Form 10-K, for the fiscal year ended December 31, 2001, Item 1.

Schering-Plough Corporation, Form 8-K, Exhibit 99.1, "Schering-Plough Reports Sales, Earnings For 2002 Third Quarter," October 24, 2002.

Exhibit 3

Percentage "Spreads". between AWP and AMP

Branded Products Accused in New York Counties

1997-2005

					1861	C007 - /661							•
Brand Name	9-Digit NDC	Date Added to First DataBank	Date of First Gross Sales	Date of Last Gross Sales	1997	1998	1999	2000	2001	2002	2003	2004	2005
(a)	(g)	9	9	· (e)	€	(3)	(h)	(3)	(Percent) (j)	(3)	(3)	(m)	(ii)
	222020000	01/01/02	10/10/10	20/30/02	76 0 50	76 950	76.4 %	30.3 %	243 %	% 970	% 90%	31.1	28.4 %
Claritin	000850458	04/14/93	04/15/93	04/03/07				23.7	24.7				
Claritin	000850612	10/15/96	10/14/96	04/23/01	23.4	22.8							
Claritin	000851128	02/06/97	02/24/97	01/29/07	23.0	22.7	22.7	23.2	24.8				
Clarifin	000851223	05/25/99	11/14/94	04/03/0/	23.4	73.0	22.6	23.4	25.0				
Claritin-D	000851033	12/07/98	12/01/98	01/31/04	T. C.	2.53	22.6	23.2	25.2				
Diprolene	000850517	03/19/87	01/01/91	09/27/07	26.8	26.3	26.5	27.4	28.1	29.3	31.9	40.8	37.3
Diprolene	000850575	08/07/83	01/01/91	10/12/60	25.7	25.5	24.4	26.4	27.1	27.6	34.8	63.1	32.5
Diprolene	000850634	12/05/91	12/01/91	12/20/06	27.4	27.7	27.7	28.5	29.9	32.2	33.2		
Diprolene	000850962	05/19/88	01/01/91	09/27/07	22.9	22.7	22.8	23.5	24.2	24.1	29.4	29.3	29.5
Elocon	000850370	07/02/87	01/01/91	09/26/07	29.5	29.2	29.4	29.9	31.8	29.8	8.18	38.0	35.1
Elocon	000850567	07/02/87	01/01/91	09/30/07	6.65	4.62	8,62	29.5	30.7	32.4	30.2	30.5	33.6
Elocon	000850854	05/04/89	01/01/91	03/26/0/	22.9	75.6 75.6	23.8	23.4	24.4 25.0	4.42	32.1	50.5	6:07
Estulyi	0/00/8/00/	01/01/82	01/01/97	04/03/07	23.2	22.8	23.0	24.5	25.4	29.0	27.2	27.7	
Indur	000851153	05/05/95	05/10/95	11/07/06	22.7	23.1	23.7	29.4	36.7	27.9	33.7	28.4	34.2
Imdur	000853306	12/26/95	01/09/96	12/20/06	22.7	22.9	24.8	35.3	48.2	27.9	28.5	29.7	29.7
Intron A	000850285	06/12/86	01/01/91	01/07/04	22.8	22.8	22.7	24.9	23.3	22.6		;	;
Intron A	000850539	12/01/88	01/01/91	09/27/07	23.0	22.5	22.6	25.0	23.8	25.7	28.0	30.1	29.5
Intron A	000850571	06/12/86	01/01/91	11/08/07	9.9	24.4	23.3	25.5	25.9	7.07	7.07	6.67	0.67
Intron A	000851110	12/19/95	12/06/95	09/26/07	22.6	22.7	22.7	25.2	24.4	26.0	28.8	30.1	29.5
Intron A	000851133	01/30/97	02/04/97	09/27/07	23.3	83.3	82.2	25.2	24.2	25.6	28.3	32.0	29.5
Intron A	000851168	01/30/97	02/04/97	10/12/60	22.6	22.8	22.8	25.2	24.6	25.5	29.3	30.3	29.5
Intron A	000851179	01/30/97	02/03/97	09/05/07	22.4	22.6	22.5	25.3	23.7	25.2	28.6	32.7	29.6
Intron A	000851191	01/30/97	02/03/97	12/12/02	22.6	22.7	22.6	25.4	22.8	22.6			
Intron A	000851235	07/14/98	86/20/20	09/27/07			22.8	25.3	24.3	25.1	28.9	30.2	29.5
Intron A	000851242	07/14/98	86/20/20	09/27/07			22.9	7.5.7	24.4	24.4	58.5	30.3	29.0
Intron A	000851254	0//14/98	0//0//98	09/720/00	73.0	33.0	3.5.	24.5	25.5	24.5	23.9	30.0	203
K-Dur	000850283	01/22/87	01/01/91	09/02/07	23.5	23.0	22.9	24.5	25.5	27.6	37.6	30.2	30.0
Lotrimin	000850182	01/01/82	01/01/91	12/11/02	12.8	14.0	8.4	3.9	4.1				
Lotrimin	000850613	01/01/82	01/01/91	10/09/02	51.7	52.4	54.1	56.8	42.7		,	;	ļ
Lotrisone	608058000	01/03/01	12/12/00	09/25/07	;				33.9	44.5	28.3	31.3	30.6
Lotrisone	000850924	07/01/84	01/01/91	09/27/07	25.2	24.9	24.9	4.02	0 \$0	707	37.8	30.0	
Nasonex	000851197	10/10/9/	10/14/97	09/21/07	75.3	11 3	76.0	26.3	20.8	31.5	30.5	36.6	42.8
Nitro-Dur	000853305	01/29/87	01/01/91	09/27/07	267.4	37.3	31.2	28.4	33.9	34.4	32.5	75.5	50.0
Nitro-Dur	000853310	01/29/87	01/01/91	09/26/07	26.5	30.7	26.7	27.7	31.5	34.0	31.2	50.2	60.3
Nitro-Dur	000853315	01/29/87	01/01/91	10/12/00	26.4	30.5	26.8	27.9	34.4	34.8	32.6	61.6	43.1
Nitro-Dur	000853320	01/29/87	01/01/91	10/12/60	26.3	29.5	26.2	27.0	31.5	32.5	31.2	49.2	96.1
Nitro-Dur	000853330	01/29/87	01/01/91	09/26/07	31.7	30.4	30.3	29.3	31.8	32.7	30.6	45.1	73.5
Normodyne	000850752	08/24/84	01/01/91	02/08/02	38.1	37.3	35.2	35.1	27.7	,		0	
Peg-Intron	000851279	01/31/01	02/06/01	09/28/07					7.77	27.3	7.67	31.3	5.25
Peg-Intron	000851291	01/31/01	02/06/01	09/26/07					0.77	1./7	8.67	31.9	31.2
Peg-muon Deg Intran	000651297	107370	02/16/01	70/77/00					23.0	27.1	29.6	31.8	32.1
Peg-Intron	000851316	02/02/04	02/10/04	09/27/07						:	!	31.7	31.1
Peg-Intron	000851323	02/07/04	02/11/04	12/30/05		,						31.6	31.9
Peg-Intron	000851368	01/31/01	02/06/01	09/27/07					22.8	27.2	28.7	30.5	30.9
Peg-Intron	000851370	02/02/04	02/16/04	09/27/07	Ряо	Page 1 of 2						31.8	31.3
					ř								

Branded Products Accused in New York Counties3 Percentage "Spreads"1,2 between AWP and AMP 1997 - 2005 Exhibit 3

		Date Added to	Date of First	Date of Last		•		•						
Brand Name	9-Digit NDC	First DataBank	Gross Sales	Gross Sales	1997	1998	1999	2000	2001	2002	2003	2004	2005	
				•					-(Percent)					
(a)	<b>@</b>	<u> </u>	Ð	(9)	€	38	€	0	9	€	€	Œ	(E)	
Permitil	000850296	01/01/82	01/02/97	03/30/00	23.1	23.2	23.4							
Proventil	000850208	02/19/87	01/01/91	03/30/03	40.6	27.7	24.4	29.3	30.5	42.1				
Proventil	000850209	02/19/87	01/01/91	10/18/02	53.1	27.2	24.2	25.7	40.5	29.6				
Proventil	000850431	06/25/87	01/01/91	04/22/02	23.2	22.7	22.3	23.6	24.7					
Proventil	000850614	01/01/82	01/01/91	09/27/07	27.5	23.9	23.6	24.1	23.4	29.7	28.5	29.3	31.6	
Proventil	000851132	10/16/96	12/16/96	09/30/07	37.7	28.0	23.1	23.9	40.9	30.9	27.6	29.3	30.4	
Rebetron	000851236	06/11/98	86/80/90	11/30/04			24.0	26.3	26.3	17.0	22.1	26.9		
Rebetron	000851241	06/11/98	86/80/90	05/08/03			26.7	28.2	28.7	27.4				
Rebetron	000851258	07/14/98	86/10/10	03/15/05			28.0	29.9	30.6	28.0	26.1	33.5		
Temodar	000851244	66/61/80	08/23/99	09/19/07				22.8	24.5	30.2	28.2	31.0	29.1	
Temodar	000851248	66/61/80	08/23/99	09/28/07				22.8	24.5	30.3	28.4	29.9	29.1	
Temodar	000851252	08/13/99	08/23/99	09/10/02				22.8	24.5	29.6	28.4	30.2	29.5	
Temodar	000851259	66/1/80	08/23/99	08/27/07				22.9	24.4	29.6	28.5	30.2	29.0	
Theo-Dur	000850584	01/22/87	01/01/91	02/17/02	43.4	32.8	34.8	34.0						
Trilafon	000850012	01/01/82	01/02/97	09/16/02	27.1	29.0	28.2	31.8	35.0					
Trilafon	000850363	01/01/82	01/01/97	05/17/00	22.6	23.7	22.5							
Vancenase	000850041	01/01/82	01/01/91	01/26/01	21.8	19.9	23.6							
Vancenase	000851049	96/22/90	96/22/90	11/22/02	28.4	24.6	23.0	23.4	26.0					
Vanceril	000850736	01/01/82	01/01/91	04/03/07	24.3	23.8	23.1	26.2	24.7	31.5				

Notes: - FDB package sizzs for certain NDCs of Proventil and Trilafon were replaced with package sizzs derived using implied package sizzs from Medispan.

"Spreads", (AWP - AMP) / AMP, were calculated as the weighted average of "spreads" calculated at the 11-digit NDC level, across all accused NDC3 within a 9-digit NDC. At the 11-digit NDC level, "spreads" were calculated spread of the calculate year and across 11-digit NDCs using "Gross Sales Activity separately for each period during the calcular year and across 11-digit NDCs using "Gross Sales Activity in the AMP-Related Classes of Trade" (see Note 4).

4 9-digit NDC was considered to be effectively obsolete for a given quarter if its constituent 11-digit NDCs were all declared to be obsolete, discontinued, or converted to over-the-counter products, or if "Gross Sales Activity in the Analy-Neeland Classes of Trade\* had fallen substantially by 99 percent or money in anticipation or is active to Activity NDC, was considered to be effectively obsolete for a given year if the first 11-digit NDC to be added to the Activity NDC was oneled to the 9-digit NDC was added after June 30th of that year, there were gross sales for less than six months during pury year, on the 9-digit NDC was added after June 30th of that year, there were gross sales for less than six months during pury year, on the 9-digit NDC was added after June 30th of that year, there were gross sales for less than six months during pury year, on the 9-digit NDC was effectively obsolete or where the percent of sales at or near WAC was not calculated for the reasons indicated in Exhibit 6.

"Branded Products Accused in New York Counties" include all Schering products listed in Exhibit B to Revised First Amended Consolidated Complaint, with the exception of Gyne-Lottimin NDCs 00085067004 and 000085088711, for " "Gross Sales Activity in the AMP-Related Classes of Thade" is defined as Total Gross Sales Activity, limited to the following classes of trade: 111, 121, 122, 123, 171, 172, 341, and 346. Total Gross Sales Activity is defined as direct sales and contract sales from the indirect sales data. Gross sales exclude non-US transactions and transactions with either non-positive revenue or quantity. which sales data were not available.

Sources: - Schering Sales Data.

First DataBank Data.

Exhibit B to Revised First Amended Consolidated Complaint ("City\_of\_NY\_and\_New\_York\_Counties\_Exhibit\_B.xls"). Schering AMP Data.

PNNewswire. "Schering-Plough Aims To Make CLARITIN® Premier Brand In OTC Category, Establish CLARINEX® As Premier Brand In Prescription Category." March 8, 2002.
Schering-Plough Corporation, Form 10-K, for the fiscal year ended December 31, 2001, Item 1.
Schering-Plough Corporation, Form 8-K, Exhibi 99.1, "Schering-Plough Reports Sales, Earnings For 2002 Third Quarter," October 24, 2002.

## Exhibit 4 Distribution of Schering Sales by Discount Percentage Branded Products Accused in New York Counties 1997 - 2005

### Average Price as a Percent of Prevailing WAC

Less Than or Equal To	Percent of Sales	Cumulative Percent of Sales
ercent)	(Per	cent)———
<b>(b)</b>	(c)	(d)
	0.34 %	0.34 %
100	0.07	0.41
99	0.21	0.62
98	53.51	54.14
97	20.40	74.54
96	10.97	85.51
95	4.83	90.33
94	1.60	91.94
93	1.05	92.98
92	1.41	94.40
91	0.57	94.96
90	1.33	96.29
85	0.78	97.08
80	2.92	100.00
	Equal To (b)  100 99 98 97 96 95 94 93 92 91 90 85	Equal To (b)         Percent of Sales           ercent)         (c)           0.34 %         %           100 0.07         99         0.21           98 53.51         97         20.40           96 10.97         95         4.83           94 1.60         93         1.05           92 1.41         91         0.57           90 1.33         85         0.78

- Notes: Sales exclude non-US and non-sales transactions, and do not include rebates found in the rebates files.
  - Further reductions for prompt payment of 2 percent were applied to direct sales.
  - Total Sales were \$28,822,447,176.41. If net revenue for a particular NDC and customer number
    for the prevailing WAC period was negative (-\$266,533,297.54 total) or if the revenue or quantity
    before chargebacks or price paid were missing or otherwise non-positive (\$5,756,677.95 total),
    or if the WAC was not available (\$804,596,173.94 total), it was dropped.
  - Wholesale entries from the chargebacks data without corresponding wholesale entries in the sales data were excluded (-\$161,637,794.73 total).
  - Wholesale entries from the chargebacks data were matched with wholesale entries in the sales data by prevailing WAC period.
  - Price is calculated by customer, as identified by customer number and, for a small number of customer numbers that appear in multiple classes of trade, by customer number and class of trade.
  - WAC values were retrieved from FDB by NDC as the prevailing WAC on the date of the sales transaction.
  - FDB package sizes for certain NDCs of Proventil and Trilafon were replaced with package sizes derived using implied package sizes from Medispan.
  - Ratios of average price to WAC were annualized to the customer and 11-digit NDC level, weighting by Gross Sales Activity in quantity. Gross Sales Activity was calculated as total sales by customer for blank-credit-code transactions in the Direct Sales data and end customer Contract Sales in the Indirect Sales, excluding non-US and non-positive revenue or quantity transactions, by calendar year and prevailing WAC period. The distribution of sales by discount percentage was then calculated by the weighted adjusted prevailing period revenue.
  - The time period used for calculating both average prices and Gross Sales Activity was restricted to the relevant period, 1997 through 2005.
  - A 9-digit NDC was considered to be effectively obsolete in a quarter if its constituent 11-digit NDCs were
    declared to be obsolete, discontinued, or converted to over-the-counter products.
  - <sup>1</sup> The table includes all branded Schering products listed in Exhibit B of the Revised First Amended Consolidated Complaint, except for Gyne-Lotrimin, for which Sales Data were not availiable.
- Sources: Schering Sales Data
  - First DataBank Data.
  - Medispan Data.
  - Schering AMP Data.
  - Exhibit B to Revised First Amended Consolidated Complaint ("City\_of\_NY\_and\_New\_York\_Counties\_ Revised\_Exhibit\_B.xls")
  - PRNewswire, "Schering-Plough Aims To Make CLARITIN® Premier Brand In OTC Category, Establish CLARINEX® As Premier Brand In Prescription Category," March 8, 2002.
  - Schering-Plough Corporation, Form 10-K, for the fiscal year ended December 31, 2001, Item 1.
  - Schering-Plough Corporation, Form 8-K, Exhibit 99.1, "Schering-Plough Reports Sales, Earnings For 2002 Third Quarter," October 24, 2002.

Exhibit 5
Percent of Schering Sales Made at Discounts
No Greater Than 5% Off the Prevailing FDB WAC
Branded Products Accused in New York Counties 
1997 - 2005

Brand	Percent of Sales within 5% of WAC	Share of New York Medicaid Reimbursement for Accused Schering Drugs
(a)	(b)	(c)
Celestone Soluspan	14.8 % 94.8	0.0 % 25.5
Claritin-D	95.6	9.2
Diprolene	92.4	1.7
Elocon	92.0	5.0
Estinyl	92.4	0.0
Eulexin	63.1	0.8
Imdur	93.3	2.5
Intron A	72.9	1.8
K-Dur	87.3	2.8
Lotrimin	62.9	0.1
Lotrisone	87.2	9.5
Nasonex	91.9	11.2
Nitro-Dur	54.0	2.1
Normodyne	76.2	0.3
Peg-Intron	82.9	9.1
Permitil	41.4	0.0
Proventil	78.8	6.8
Rebetron	58.1	5.1
Temodar	91.5	2.2
Theo-Dur	67.9	0.1
Trilafon	41.2	0.0
Vancenase	82.2	1.3
Vanceril	74.1	2.9

Notes: - Sales exclude non-US and non-sales transactions, and do not include rebates found in the rebates files.

- Further reductions for prompt payment of 2 percent were applied to direct sales.
- Total Sales were \$28,822,447,176.41. If net revenue for a particular NDC and customer number for the prevailing WAC period was negative (-\$266,533,297.54 total) or if the revenue or quantity before chargebacks or price paid were missing or otherwise non-positive (\$5,756,677.95 total), or if the WAC was not available (\$804,596,173.94 total), it was dropped.
- Wholesale entries from the chargebacks data without corresponding wholesale entries in the sales data were excluded (-\$161,637,794.73 total).
- Wholesale entries from the chargebacks data were matched with wholesale entries in the sales data by prevailing WAC period.
- Price is calculated by customer, as identified by customer number and, for a small number of customer numbers that appear in multiple classes of trade, by customer number and class of trade.
- WAC values were retrieved from FDB by NDC as the prevailing WAC on the date of the sales transaction.
- FDB package sizes for certain NDCs of Proventil and Trilafon were replaced with package sizes derived using implied package sizes from Medispan.
- Ratios of average price to WAC were annualized to the customer and 11-digit NDC level, weighting by Gross

#### Percent of Schering Sales Made at Discounts No Greater Than 5% Off the Prevailing FDB WAC Branded Products Accused in New York Counties 1 1997 - 2005

Sales Activity in quantity. Gross Sales Activity was calculated as total sales by customer for blank-credit-code transactions in the Direct Sales data and end customer Contract Sales in the Indirect Sales, excluding non-US and non-positive revenue or quantity transactions, by calendar year and prevailing WAC period. Sales within 5 percent of WAC were then calculated by the weighted adjusted prevailing period revenue.

- The time period used for calculating both average prices and Gross Sales Activity was restricted to the relevant period, 1997 through 2005.
- A 9-digit NDC was considered to be effectively obsolete in a quarter if its constituent 11-digit NDCs were declared to be obsolete, discontinued, or converted to over-the-counter products.
- New York Medicaid reimbursement reflects the total amount the State reimbursed to pharmacists for the drug. This total is not reduced or affected by Medicaid rebates paid to the state. This amount represents both the Federal and State reimbursement and is inclusive of dispensing fees.
- <sup>1</sup> The table includes all branded Schering products listed in Exhibit B of the Revised First Amended Consolidated Complaint, except for Gyne-Lotrimin, for which Sales Data were not available.

- Sources: Schering Sales Data.
  - First DataBank Data.
  - Medispan Data.
  - Schering AMP Data.
  - Medicaid State Drug Utilization Data including "Definitions for State Drug Utilization Data Specifications", Centers for Medicare & Medicaid Services.
  - Exhibit B to Revised First Amended Consolidated Complaint ("City of NY and New York Counties" Revised Exhibit B.xls")
  - PRNewswire, "Schering-Plough Aims To Make CLARITIN® Premier Brand In OTC Category, Establish CLARINEX® As Premier Brand In Prescription Category," March 8, 2002.
  - Schering-Plough Corporation, Form 10-K, for the fiscal year ended December 31, 2001, Item 1.
  - Schering-Plough Corporation, Form 8-K, Exhibit 99.1, "Schering-Plough Reports Sales, Earnings For 2002 Third Quarter," October 24, 2002.

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Exhibit 6

Percent of Schering Sales Made at Discounts
No Greater Than 5% Off the Prevailing FDB WAC

Branded Products Accused in New York Counties
1997-2005

		Date Added to							Year				
Brand	9-Digit NDC		Date of First Sale Date of Last Sale	te of Last Sale	1997	1998	1999	2000	2001	2002	2003	2004	2005
(a)	(g)	9	Ð	(e)	9	<b>(g</b> )	<u>(F</u>	€	9	3	€	(E)	(n)
Celestone Soluspan	998208000	1/1/1982	1/1/1991	9/30/2007	15.0 %	13.6 %	21.0 %	9.7 %	30.6 %	28.6 %	20.3 %	43.7 %	91.4 %
Claritin	000850458	4/14/1993	4/15/1993	4/3/2007	95.0	95.1	95.5	93.7	91.2				
Claritin	000850612	10/13/1996	2/24/1996	1/29/2001	90.0	70.7 07.7	03.0	917	9 60				
Claritin	000851128	661/6/7	4/20/1999	4/3/2007	72.7	7: 72	696	95.3	95.6				
Claritin-D	000850635	11/15/1994	11/14/1994	8/7/2007	97.6	95.9	97.9	95.8	629				
Claritin-D	000851233	12/7/1998	12/1/1998	1/31/2004			97.5	97.6	66.2				
Diprolene	000850517	3/19/1987	1/1/1991	9/27/2007	92.3	93.9	93.4	93.3	91.8	75.7	82.1	57.9	87.3
Diprolene	000850575	8/7/1983	1/1/1991	9/27/2007	89.5	91.4	90.4	92.8	93.2	6.97	53.8	9.99	2.96
Diprolene	000850634	12/5/1991	12/1/1991	12/20/2006	93.9	95.3	95.0	94.2	94.0	42.9	67.5		
Diprolene	000850962	5/19/1988	1/1/1991	9/27/2007	94.2	94.3	94.3	93.1	93.6	86.9	88.0	6.98	8.96
Elocon	000850370	7/2/1987	1/1/1991	9/26/2007	94.2	95.3	94.9	95.0	95.2	81.3	38.6	93.5	96.1
Elocon	000850567	7/2/1987	1/1/1991	9/30/2007	93.9	0.96	95.5	92.6	95.4	8.69	78.5	97.1	93.5
Elocon	000850854	5/4/1989	1/1/1991	9/26/2007	96.3	97.1	8.96	96.5	5'96	7.76	46.1	89.3	6.76
Estinyl	000850070	1/1/1982	1/1/1997	3/7/2002	94.6	93.6	93.7	91.4	82.2				
Eulexin	000850525	5/6/1989	1/1/1991	4/3/2007	8.89	70.2	67.1	63.6	48.6	36.5	33.4	711.7	
Imdur	000851153	2/5/1995	5/10/1995	11/7/2006	97.4	97.2	94.8	66.7	82.0	85.6	84.4	93.9	97.1
Imdur	000853306	12/26/1995	1/9/1996	12/20/2006	95.3	95.7	94.4	7.67	90.3	91.6	6.68	81.6	83.0
Intron A	000850285	9861/21/9	1/1/1991	1/7/2004	48.6	63.7	56.5	48.7	50.3	71.9			
Intron A	000850539	12/1/1988	1/1/1991	9/27/2007	0.69	9.89	62.1	63.8	63.9	75.7	69.7	76.3	80.5
Intron A	000850571	9861/21/9	1/1/1991	9/28/2007	59.7	57.5	50.4	35.4	38.4	58.9	54.5	66.4	75.5
Intron A	000850647	1/3/1991	3/4/1991	11/8/2002	9.88	6.7	94.9	53.5	63.4				
Intron A	000851110	12/19/1995	12/6/1995	9/26/2007	74.5	77.3	69.4	59.9	45.6	75.1	64.1	79.8	86.7
Intron A	000851133	1/30/1997	2/4/1997	9/27/2007	71.5	77.2	76.4	60.3	54.1	71.6	64.4	75.4	86.3
Intron A	000851168	1/30/1997	2/4/1997	9/27/2007	81.1	74.0	80.8	68.7	8.65	78.5	60.4	79.2	84.9
Intron A	000851179	1/30/1997	2/3/1997	9/5/2007	82.5	86.0	78.3	54.7	55.8	9.62	63.4	76.0	81.2
Intron A	000851191	1/30/1997	2/3/1997	12/12/2002	9.06	81.4	83.9	65.1	69.7	76.0			
Intron A	000851235	7/14/1998	7/7/1998	9/27/2007			89.3	77.9	78.4	85.0	9.79	81.8	88.0
Intron A	000851242	7/14/1998	7/7/1998	9/27/2007			89.2	7.97	79.9	83.5	9.6	85.5	89.1
Intron A	000851254	7/14/1998	7/7/1998	9/26/2007			87.1	81.7	74.5	88.3	81.2	88.7	8.06
K-Dur	000850263	1/22/1987	1/1/1991	9/5/2007	83.8	87.7	90.2	86.2	70.1	38.3	82.8	86.7	97.4
K-Dur	000850787	1/22/1987	1/1/1881	9/5/2007	89.0	91.3	0.06	85.5	72.7	33.9	72.5	81.9	95.1
Lotrimin	000850182	1/1/1982	1/1/1991	12/11/2002	73.1	67.4	7.1.7	66.3	68.1				
Lotrimin	000850613	1/1/1982	1/1/1991	10/9/2002	42.3	47.2	79.4	77.4	78.2				
Lotrisone	60802000	1/3/2001	12/12/2000	9/25/2007					46.6	94.3	84.9	80.0	83.5
Lotrisone	000850924	7/1/1984	1/1/1991	9/27/2007	89.5	6.68	88.0	86.4					
Nasonex	000851197	10/16/1997	10/14/1997	3/21/2007			92.4	87.6	91.7	91.2	94.6	94.8	
Nitro-Dur	000850819	3/31/1994	3/22/1994	9/28/2007	88.0	83.8	77.0	9.6	75.3	87.0	79.9	74.5	92.6
Nitro-Dur	000853305	1/29/1987	1/1/1991	9/27/2007	64.4	64.0	52.7	61.1	51.1	61.0	9.07	30.8	76.1
Nitro-Dur	000853310	1/29/1987	1/1/1991	9/26/2007	62.6	56.9	55.0	57.3	53.5	56.1	6.69	35.5	77.9
Nitro-Dur	000853315	1/29/1987	1/1/1991	9/27/2007	63.6	58.1	59.1	60.5	50.2	63.5	87.8	50.9	87.7

Page 2 of 3

Percent of Schering Sales Made at Discounts No Greater Than 5% Off the Prevailing FDB WAC Branded Products Accused in New York Counties 1 1997 - 2005 Exhibit 6

	2005	(II)	73.7	76.5		58.2	72.3	78.6	65.2	81.2	7.67	81.5	75.0					1.68	0.66				92.3	92.4	9.56	93.0						
	2004	Œ	27.9	44.9		59.5	67.5	78.7	63.1	83.1	84.2	75.3	76.4					85.1	99.2	64.6		51.6	83.6	9.06	87.7	87.9						
	2003	€	73.7	85.4		929	77.5		67.4			76.3						82.5	92.8	57.3		36.4	86.5	87.7	82.9	92.1						
	2002	3	62.6	9.89		93.9	95.5		94.2			926			17.0	98.4		67.8	95.1	59.2	52.5	43.6	87.9	93.0	90.2	91.7						47.5
Year	2001	9	49.9	51.0	72.3	96.7	96.4		97.4			97.0			78.1	75.7	91.0	89.4	51.0	41.5	50.1	42.0	93.8	94.8	92.0	92.7		6.79			58.3	9.19
	2000	€	54.5	59.0	74.8										43.4	86.7	93.4	88.0	87.9	76.1	9.07	73.7	97.0	97.5	95.5	96.5	70.7	74.9			6.06	72.0
	1999	(F)	58.0	56.3	63.2									50.5	30.5	9.92	94.0	91.2	87.2	83.6	89.3	86.3					75.6	63.1	58.5	41.6	91.8	17.2
	1998	(3)	54.2	56.4	85.4									50.0	48.9	79.3	93.7	77.8	80.7								78.2	37.4	52.3	44.0	83.4	79.8
	1997	€	57.7	62.4	77.1									38.0	24.1	38.8	93.4	67.5	83.1								52.0	26.7	33.7	38.7	60.2	77.4
	ate of Last Sale	9	9/27/2007	9/26/2007	2/8/2002	9/28/2007	9/26/2007	9/27/2007	9/27/2007	9/27/2007	12/30/2005	9/27/2007	9/27/2007	3/30/2000	3/30/2003	10/18/2002	4/22/2002	9/27/2007	9/30/2007	11/30/2004	5/8/2003	3/15/2005	9/19/2007	9/28/2007	9/10/2007	8/27/2007	2/17/2002	9/16/2002	5/17/2000	1/26/2001	11/22/2002	4/3/2007
	Date of First Sale Date of Last Sale	Ð	1/1/1991	1/1/1991	1/1/1991	2/6/2001	2/6/2001	2/10/2004	2/6/2001	2/10/2004	2/11/2004	7/6/2001	2/16/2004	1/2/1997	1/1/1991	1/1/1991	1/1/1991	1/1/1991	12/16/1996	8/8/1998	6/8/1998	7/7/1998	8/23/1999	8/23/1999	8/23/1999	8/23/1999	1/1/1991	1/2/1997	1/1/1997	1/1/1991	9661/12/9	1/1/1661
Data Added to		(9)	1/29/1987	1/29/1987	8/24/1984	1/31/2001	1/31/2001	2/2/2004	1/31/2001	2/2/2004	2/2/2004	1/31/2001	2/2/2004	1/1/1982	2/19/1987	2/19/1987	6/25/1987	1/1/1982	10/16/1996	861/11/9	8661/11/9	7/14/1998	8/19/1999	8/19/1999	8/19/1999	8/19/1999	1/22/1987	1/1/1982	1/1/1982	1/1/1982	96/21/1696	1/1/1982
	9-Digit NDC	æ	000853320	000853330	000850752	000851279	000851291	000851297	000851304	000851316	000851323	000851368	000851370	000850296	000850208	000850209	000850431	000850614	000851132	000851236	000851241	000851258	000851244	000851248	000851252	000851259	000850584	000850012	000850363	000850041	000851049	000850736
	Brand	(a)	Nitro-Dur	Nitro-Dur	Normodyne	Peg-Intron	Permitil	Proventil	Proventil	Proventil	Proventil	Proventil	Rebetron	Rebetron	Rebetron	Temodar	Temodar	Temodar	Temodar	Theo-Dur	Trilafon	Trilafon	Vancenase	Vancenase	Vanceril							

Notes: - Sales exclude non-US and non-sales transactions, and do not include rebates found in the rebates files.

for the prevailing WAC period was negative (-\$256,533,297.54 total) or if the revenue or quantity before chargebacks or price paid were missing or otherwise non-positive (\$5,756,677.95 total), Further reductions for prompt payment of 2 percent were applied to direct sales.
 Total Sales were \$228,822,447,176.41. If net revenue for a particular NDC and customer number

or if the WAC was not available (\$804,596,173.94 total), it was dropped.

- Wholesale entries from the chargebacks data without corresponding wholesale entries in the sales data were excluded (-\$161,637,794.73 total).

- Wholesale entries from the chargebacks data were matched with wholesale entries in the

# Exhibit 6

No Greater Than 5% Off the Prevailing FDB WAC Branded Products Accused in New York Counties Percent of Schering Sales Made at Discounts

		70
		2003
		2002
	Year	2001
		2000
		1999
		1998
cnn7 - /		1997
661		Added to FDB Date of First Sale Date of Last Sale
		Date Ac
		9-Digit NDC
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sales data by prevailing WAC period.

Price is calculated by customer, as identified by customer number and, for a small number of

customer numbers that appear in multiple classes of trade, by customer number and class of trade. WAC values were retrieved from FDB by NDC as the prevailing WAC on the date of

FDB package sizes for certain NDCs of Proventil and Trilafon were replaced with package sizes

Sales Activity in quantity. Gross Sales Activity was calculated as total sales by customer for blank-credit-code transactions in the Direct Sales data and end customer Contract Sales in the Indirect Sales, excluding non-US and non-positive revenue or quantity transactions, by calendar year and prevailing WAC period. Sales within Ratios of average price to WAC were annualized to the customer and 11-digit NDC level, weighting by Gross 5 percent of WAC were then calculated by the weighted adjusted prevailing period revenue. derived using implied package sizes from Medispan.

The time period used for calculating both average prices and Gross Sales Activity was restricted to the relevant period, 1997 through 2005.

9-digit NDC was effectively obsolete or if there was no corresponding percentage "spread" between AWP and AMP. made at discounts no greater than 5 percent off the prevailing WAC was not calculated for years during which the A 9-digit NDC was considered to be effectively obsolete for a given quarter if its constituent 11-digit NDCs were that year, or the 9-digit NDC was effectively obsolete for at least three quarters of the year. The percent of sales considered to be effectively obsolete for a given year if the first 11-digit NDC to be added to FDB within the 9-digit NDC was added after June 30th of that year, there were gross sales for less than six months during all declared to be obsolete, discontinued, or converted to over-the-counter products. A 9-digit NDC was

The table includes all branded Schering products listed in Exhibit B of the Revised First Amended

Consolidated Complaint, except for Gyne-Lotrinin, for which Sales Data were not availiable.

Sources: - Schering Sales Data.

First DataBank Data.

Medispan Data.

Schering AMP Data.

Revised Exhibit B.xls")

- Exhibit B to Revised First Amended Consolidated Complaint ("City\_of\_NY\_and\_New\_York\_Counties\_

PRNewswire, "Schering-Plough Aims To Make CLARITIN® Premier Brand In OTC Category, Establish

CLARINEX® As Premier Brand In Prescription Category," March 8, 2002. Schering-Plough Corporation, Form 10-K, for the fiscal year ended December 31, 2001, Item 1.

Schering-Plough Corporation, Form 8-K, Exhibit 99.1, "Schering-Plough Reports Sales, Earnings For 2002

Third Quarter," October 24, 2002.